

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup> : <b>A61K 37/02 // C07K 13/00</b>	<b>A2</b>	(11) International Publication Number: <b>WO 94/25056</b> (43) International Publication Date: 10 November 1994 (10.11.94)
(21) International Application Number: <b>PCT/US94/04762</b> (22) International Filing Date: <b>29 April 1994 (29.04.94)</b> (30) Priority Data: 08/055,062      29 April 1993 (29.04.93) <b>US</b> (60) Parent Application or Grant (63) Related by Continuation <b>US</b> 08/055,062 (CIP) <b>Filed on</b> 29 April 1993 (29.04.93) (71) Applicant (for all designated States except US): <b>WASHINGTON UNIVERSITY [US/US]; 724 South Euclid Avenue, St. Louis, MO 63112 (US).</b> (72) Inventor; and (75) Inventor/Applicant (for US only): <b>KHOURI, Roger, Khalil [US/US]; No. 2 Kingsbury, St. Louis, MO 63112 (US).</b> (74) Agents: <b>ODRE, Steven, M. et al.; Amgen Inc., Amgen Center, 1840 Dehavilland Drive, Thousand Oaks, CA 91320-1789 (US).</b>	(81) Designated States: <b>AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</b>  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>	
(54) Title: <b>USE OF PLATELET-DERIVED GROWTH FACTOR TO IMPROVE COLLATERAL CIRCULATION</b> (57) Abstract <p>The present invention provides a noninvasive method for improving collateral circulation to tissue at risk of ischemia or ischemic necrosis. Improved collateral circulation is obtained by applying a growth factor from the PDGF family to the area at or around an occluded blood vessel causing compromised direct circulation to the affected tissue. The present invention further provides a method for anastomosing one or more divided blood vessels using a growth factor from the PDGF family. Preferably, the growth factor is administered locally to the end(s) of the divided blood vessel(s) at or around the area where a single divided blood vessel is attached to tissue of an organ at risk of ischemia.</p>		

AN

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

- 1 -

USE OF PLATELET-DERIVED GROWTH FACTOR TO IMPROVE  
COLLATERAL CIRCULATIONBackground

5

Human platelet-derived growth factor ("PDGF") is believed to be the major mitogenic growth factor in serum for connective tissue cells. The mitogenic activity of PDGF has been documented in numerous studies, wherein PDGF has been shown to positively affect mitogenesis in arterial smooth muscle cells, fibroblast cells lines, and glial cells. Deuel et al., *J. Biol. Chem.*, 256(17), 8896-8899 (1981). See also, e.g., Heldin et al., *J. Cell Physiol.*, 105, 235 (1980) (brain glial cells); Raines and Ross, *J. Biol. Chem.*, 257, 5154 (1982) (monkey arterial smooth muscle cells). PDGF is also believed to be a chemoattractant for fibroblasts, smooth muscle cells, monocytes, and granulocytes. Because of its apparent abilities to both induce mitogenesis at the site of connective tissue wounds, and to attract fibroblasts to the site of such wounds, PDGF is thought to have particular potential for therapeutic use in the repair of injured, or traumatized, connective tissues.

25

Other members of the PDGF family include vascular endothelial cell growth factor ("VEGF", sometimes also referred to as "vascular permeability factor, or "VPF") and placental growth factor ("PLGF"). Tischer et al., *Biochem. Biophys. Res. Comm.*, 165(3), 1198-1206 (1989) and Maglione et al., *Proc. Natl. Acad. Sci. USA*, 88, 9267-9271 (1991), respectively. Both VEGF and PLGF form disulfide bonded dimers from the eight highly conserved cysteine residues that appear in the PDGF homologous region of each monomeric unit of these

35

- 2 -

PDGF family members. Tischer et al. and Maglione et al., *ibid.* The receptors for VEGF and PLGF are also in the same receptor subfamily as the PDGF receptors. Consequently, these "newer" members of the PDGF family are thought to be potentially useful as therapeutic products in wound repair, although they have not been studied as extensively as PDGF.

Naturally occurring PDGF is a disulfide-bonded dimer having two polypeptide chains, namely the "A" and "B" chains, with the A chain being approximately 60% homologous to the B chain. Naturally occurring PDGF is found in three dimeric forms, namely PDGF-AB heterodimer, PDGF-BB homodimer, or PDGF-AA homodimer. Hannink et al., *Mol. Cell. Biol.*, 6, 1304-1314 (1986). Although PDGF-AB has been identified as the predominate naturally occurring form, it is the PDGF-BB homodimer that has been most widely used in wound healing studies. Each monomeric subunit of the biologically active dimer, irrespective of whether it is an A chain monomer or a B chain monomer, contains eight cysteine residues. Some of these cysteine residues form interchain disulfide bonds which hold the dimer together.

The PDGF-B found in human platelets has been identified as a 109 amino acid cleavage product (PDGF-B<sub>109</sub>) of a 241 amino acid precursor polypeptide. Johnsson et al., *EMBO Journal*, 3(5), 921-928 (1984). This 109 amino acid homologous sequence coincides with the 109 amino acid cleavage product of the *c-sis* encoded PDGF-B precursor protein and is believed by many to be the mature form of PDGF in humans. Homology with the *c-sis* encoded precursor protein begins at amino acid 82 of the 241 amino acid precursor protein and continues for 109 amino acids. Another form of PDGF-B (PDGF-

- 3 -

B119), corresponding to the first 119 amino acids of the c-sis encoded PDGF-B precursor protein, has also been identified as a major cleavage product of the c-sis encoded precursor protein when the entire c-sis gene is encoded into a transfected mammalian host. U.S Patent No. 5,149,792.

The application of PDGF to dermal wounds, including incisional wounds and dermal ulcers, in human and/or animals has been shown to accelerate the rate at which these types of wounds heal. Pierce et al, 167, *J. Exp. Med.*, 974-987 (1988) (incisional wounds in rats); Robson et al., *The Lancet*, 339, 23-25 (1992) (human dermal ulcers). PDGF has is believed to function in the acceleration of wound healing by stimulating the deposition of a provisional matrix in the wound bed. Pierce et al., *Am. J. Pathology*, 140(6), 1375-1388 (1992). PDGF is also believed to indirectly stimulate supportive angiogenesis in connection with the deposition of this provisional matrix. Pierce et al., *ibid.* However, this degree of supportive angiogenesis may be insufficient for PDGF alone to significantly accelerate the healing of ischemic wounds. Pierce et al., *ibid.* More importantly, PDGF has not demonstrated an ability to create collateral circulation in ischemic tissue at risk of necrosis.

Currently, the best method for providing collateral circulation to tissue at risk of ischemic necrosis is surgical anastomosis, or bypass surgery. Although advances in endoscopic technology have made it possible to perform some cardiac surgical procedures through a thoracoscope (Mack et al., *Ann. Thorac. Surg.*, 56, 739-740 (1993); Hazelrigg et al., *Ann. Thorac. Surg.*, 56, 792-795 (1993); Frumin et al., *PACE*, 16,

- 4 -

257-260 (1993)), it is not possible to perform coronary artery bypass grafting through a thoracoscope without cardiopulmonary bypass unless and until coronary artery anastomoses can be performed reliably and safely on a beating heart.

Thus, there are no established noninvasive procedures for creating collateral circulation in tissue at risk of ischemia. Nevertheless, fibroblast growth factor (FGF), considered to be the prototypical angiogenic agent, has been suggested in the treatment of ischemic heart disease and to alleviate conditions caused by myocardial infarction. U.S. Patent Nos. 4,278,347 and 4,296,100, respectively. Yanagisawa et al. *Science*, 257, 1401-1403 (1992) have also injected basic FGF into the proximal coronary circulation and demonstrated a reduction in the size of myocardial infarction upon coronary occlusion. This approach, however, relies upon good blood flow in the very same coronary circulation that has already been compromised by the atherosclerotic disease process. Unger et al., *Am. J. Physiol.*, 264, H1567-H1574 (1993), reported that implanting the internal mammary vessels into the left ventricular myocardium, with infusion of acidic FGF into the distal ends of the implanted vessels, resulted in no beneficial effect beyond that which was achieved with heparin infusion alone.

It is an object of the present invention to provide a noninvasive method for improving collateral circulation in tissue at risk of ischemia or ischemic necrosis.

- 5 -

It is a further object of the present invention to provide a noninvasive method to effect the anastomosis of blood vessels.

5 It is a still further object of the present invention to provide a nonsurgical method for conducting bypass grafting.

#### Summary of the Invention

10

The present invention provides a noninvasive method for improving collateral circulation in or around the area of an occluded blood vessel, or a blood vessel at risk of occlusion, through the application of a growth factor from the PDGF family. The present invention further provides a method for anastomosing blood vessels using a growth factor from the PDGF family. Anastomosis can involve the reconnection of two divided blood vessels or the connection of a single divided blood vessel to the circulation bed of a tissue at risk of ischemia. The tissue growth factor can be administered by local administration to the affected ischemic tissue area or by systemic infusion of growth factor. In the case where anastomosis of one or more blood vessels is desired, the growth factor can be administered directly to the blood vessels desired to be anastomosed or to the area at or around the site where a divided blood vessel is attached to tissue at risk of ischemia.

30

#### Brief Description of the Drawings

FIG. 1 is a nucleic acid coding sequence for PDGF-B119.

35



- 6 -

FIG. 2 shows an angiogram of a representative flap taken from a rat in the day-3 group following prophylactic administration of PDGF, as set forth in Example 5.

5

FIG. 3 shows an angiogram of a representative flap taken from a rat in the day-4 group following prophylactic administration of PDGF, as set forth in Example 5.

10

FIG. 4 shows an angiogram of a representative flap taken from a rat in the day-7 group following prophylactic administration of PDGF, as set forth in Example 5.

15

FIG. 5 is a graph showing perfusion by collateral circulation of the flap tissue of the rats in Example 5, as compared to normal skin perfusion.

20

FIG. 6 is a graph showing survival of the flap tissue of the rats in Example 5.

25

FIG. 7A shows the control vessel from Example 6 *in situ* after injection with contrast solution.

30

FIG. 7B shows the PDGF-B-treated vessel from Example 6 *in situ* after injection with contrast solution.

FIG. 8 is a diagram of an experimental model for PDGF-BB-induced extra-cardiac-to-coronary vascular anastomoses.

- 7 -

FIG. 9 is a bar graph showing the effect of PDGF-BB treatment on survival and infarct-free survival following acute occlusion of the left anterior descending artery.

5

FIG. 10 is a bar graph showing the effect of PDGF-BB treatment on infarct size following acute occlusion of the left anterior descending artery.

10

FIG. 11 shows representative gross pathological cross-sections of the left ventricle from each group of rabbits in Example 7.

15

FIG. 12A is a microangiogram of the control group from Example 7.

FIG. 12B is a microangiogram of the PDGF-BB-treated group from Example 7.

20

#### Detailed Description of the Invention

The present invention provides a method for improving collateral circulation and/or anastomosing blood vessels through the application of a growth factor from the PDGF family.

25

In order to aid in the understanding of the present invention, the following terms, as used herein, have the definitions designated below.

30

The term "direct circulation" refers to blood flow resulting from perfusion of blood to a tissue by one of the major direct arteries of the circulatory system to that tissue (e.g., perfusion from the coronary

- 8 -

artery to the heart; perfusion from the femoral artery to the leg).

The term "collateral circulation" refers to  
5 blood flow that is derived from other than direct circulation.

The term "compromised direct circulation" refers to direct circulation that has been impaired by  
10 the occlusion of a blood vessel. Occlusion can occur as the result of any of a number of causes included arterial blockage and division of blood vessels. Ordinarily, compromised direct circulation impaired by at least about 50% begins to place tissue at risk of  
15 necrosis.

As used herein "occlusion" of a blood vessel means any degree of restriction of normal blood flow through the occluded blood vessel to its ultimate  
20 location(s). Occlusion can occur as the result of stricture of a blood vessel (e.g., arterial blockage; ligation) or from severing (division) of a blood vessel.

The term "improved collateral circulation" refers to collateral circulation that has been increased  
25 to a level sufficient to sustain the viability of the affected ischemic tissue.

The term "anastomose" or "anastomosis" refers  
30 to the union of parts or branches of blood vessels so as to allow the blood to flow freely from one vessel to another. Anastomosis can, for example, refer to the union of two divided blood vessels or to the union of a single divided blood vessel with the circulation bed of  
35 a tissue.

- 9 -

The term "divided blood vessel" means a blood vessel that has been severed. Blood vessels may be severed either accidentally (e.g., by trauma) or intentionally (e.g., surgical removal of "flap" for reconstructive transplant to another site on the body) of a surgical procedure.

The term "therapeutically effective" amount refers to the amount of PDGF, or other growth factor from the PDGF family, in the absence of other growth factors (i.e., not in the PDGF family), determined to produce improved collateral circulation in a human or animal subject.

As used herein, the term "PDGF" means any combination of PDGF monomers and/or dimers, including analogs thereof, reduced or unreduced, biologically active, or inactive, recombinant or otherwise having substantially the same mitogenic, chemotactic, enzymatic and/or other detectable biological activity as the corresponding naturally occurring polypeptide. The term "PDGF" is specifically intended to include PDGF analogs having one or more modifications to the number and/or identity of amino acid sequences of naturally occurring PDGF.

As used herein, the term "VEGF" means any combination of VEGF monomers and/or dimers, including analogs thereof, reduced or unreduced, biologically active, or inactive, recombinant or otherwise having substantially the same mitogenic, chemotactic, enzymatic and/or other detectable biological activity as the corresponding naturally occurring polypeptide. The term "VEGF" is specifically intended to include VEGF analogs having one or more modifications to the

- 10 -

number and/or identity of amino acid sequences of naturally occurring VEGF.

As used herein, the term "PLGF" means any combination of PLGF monomers and/or dimers, including analogs thereof, reduced or unreduced, biologically active, or inactive, recombinant or otherwise having substantially the same mitogenic, chemotactic, enzymatic and/or other detectable biological activity as the corresponding naturally occurring polypeptide. The term "PLGF" is specifically intended to include PLGF analogs having one or more modifications to the number and/or identity of amino acid sequences of naturally occurring PLGF.

The term "PDGF precursor protein" refers to the entire 241 amino acid c-sis-encoded precursor protein prior to processing of the polypeptide to its shorter, mature forms (e.g., PDGF-B<sub>109</sub> and PDGF-B<sub>119</sub>).

It has been found according to the present invention that a growth factor from the PDGF family can be used to improve functional collateral circulation at or around the site of compromised direct circulation. The improved collateral circulation can occur as a result of neovessel formation and/or through the anastomosis of blood vessels. Thus, the present invention provides a method for improving collateral circulation to ischemic tissue resulting from compromised direct circulation of a human or animal subject by administering a therapeutically effective amount of PDGF, or other growth factor from the PDGF family, to the human or animal subject.

- 11 -

There are many medical conditions and disease states that result in compromised direct circulation. For example, myocardial infarction, stroke, and intermittent claudication are examples of compromised direct circulation resulting from atherosclerotic narrowing of the major arterial supply of the heart, brain, and lower extremities, respectively. The conditions of compromised direct circulation naturally induce some limited collateralization of the circulation to augment blood flow to ischemic tissue resulting from compromised direct circulation. However, this normal biological response is often insufficient to create functional collateral circulation in ischemic tissues. Where collateral circulation is not sufficiently improved, the ischemic tissue may die, or necrose, necessitating removal of the necrotic tissue. In the case of myocardial infarction, the heart may cease to function, resulting in death. In the case of compromised direct circulation to extremities, the affected extremity may become gangrenous, requiring amputation.

Thus, in all cases of ischemic tissue at risk of necrosis, it is of utmost importance that collateral circulation to and within the ischemic tissue be improved rapidly. The criticality of rapidly improving collateral circulation to "at risk" tissue is best understood in the context of the fact that brain tissue dies after a mere fifteen minutes of deprivation of its blood supply. Heart tissue may survive up to two hours upon deprivation of its blood supply, but ceases functioning after that point. Other tissues in the body can generally survive no longer than four to six hours before necrosis sets in. Thus, in most cases, it will be preferred to use the method of the present invention

- 12 -

to prophylactically improve circulation to ischemic tissue at risk of necrosis, or even to tissue simply at risk of ischemia, similar to the way in which classical surgical procedures of, e.g., heart bypass (to treat  
5 heart disease) and leg graft (to treat ischemic disease to the lower extremities) are used. Tissue determined to be at risk, and therefore a candidate for prophylactic treatment by the method of the present invention, will be identified in much the same manner as  
10 tissue is currently identified for classical surgical procedures to improve collateral circulation.

In clinical practice, a growth factor from the PDGF family can be administered, e.g., by local  
15 administration to the affected ischemic area or by systemic infusion of the growth factor, although local administration is preferred. An example of this type of growth factor application is in the case of "flap" surgery where, in a reconstructive process, tissue is  
20 removed from another part of the body and transplanted to the reconstructive site. The administration of a therapeutically effective amount of growth factor at the reconstructive site of the transplant improves collateral circulation, allowing the "flap" tissue to  
25 more quickly develop its own collateral blood supply at the reconstructive site and thus dramatically improves the success rate of such types of reconstructive surgery. In the case of reconstructive "flap" surgery, it may be advantageous to apply the growth factor  
30 continuously, either by repeated administration of the growth factor, via a sustained release delivery vehicle, or both.

In the case where it is desired to effect  
35 anastomosis of divided blood vessels, a growth factor

- 13 -

from the PDGF family can be administered at the ends of ligated blood vessels prior to or at the time of anastomosis. For example, when dealing with heart tissue at risk of ischemia or ischemic necrosis, due to imminent occlusion of the arteries responsible for direct circulation (e.g. coronary artery), collateral circulation can be prophylactically improved by endoscopic administration of a therapeutically effective amount of growth factor from the PDGF family to connect purposefully divided blood vessels (e.g., internal mammary artery) from neighboring parts of the body to blood vessels of the heart, thus improving collateral circulation to the heart tissue and obviating the need for the much more invasive classical heart bypass surgery. The ability to endoscopically revascularize a beating heart in this manner, without resorting to a direct surgical coronary artery anastomosis, cardiopulmonary bypass or a median sternotomy wound, significantly reduces the problems currently associated with coronary artery bypass grafting.

It is not necessary, however, for two divided blood vessels to be reconnected in anastomosis procedures. In fact, in the case of by-pass grafting it will often be preferred to perform multiple anastomoses of single divided blood vessels (i.e., by-pass graft vessels) to the circulation bed of tissue of an organ at risk of ischemia. Where it is desired to anastomose a divided graft vessel to the circulation bed of an organ in this manner, the graft vessel is first ligated, cauterized, or otherwise substantially occluded, at the intended point of division and then divided with one of the divided ends simply being attached to the tissue of the affected organ. A therapeutically effective amount of a growth factor from the PDGF family is applied at or



- 14 -

near the site of attachment of the graft vessel sometime during the grafting procedure.

Attachment of the graft vessel to the tissue of the affected organ can be performed in any manner known to those skilled in the art. Preferably the attachment is performed by tunneling or burying the graft vessel within the organ tissue. The application of growth factor induces the by-pass graft vessel to connect with the circulation bed of the tissue within which the graft vessel has been placed. The ligature of the graft vessel will typically necrose after attachment, enabling blood to flow from the graft vessel, either through the necrotic tip of the vessel or through vessels which have "sprouted" near the necrotic end, to the vessels of the circulation bed of the affected organ. In this way, no division of blood vessels within the affected organ is required to perform the graft.

The therapeutically effective amount of growth factor from the PDGF family to be administered will vary within a wide range and will depend upon whether the growth factor is being applied for the purpose of increasing collateral circulation in ischemic tissue or for the more acute purpose of anastomosing blood vessels. In the former case, circumstances such as the location and extent of ischemia in affected tissue(s) will play a role in determining the therapeutically effective amount of growth factor. Although in most cases the administration of a single acute application of growth factor will be sufficient to establish the desired level of functional collateral circulation in the affected tissue, it may be necessary in some cases to continue the application of growth factor over a

- 15 -

period of several days, or even weeks. The continued treatment may be accomplished by repeated applications of growth factor and/or by a sustained release delivery vehicle. In the case where blood vessels are to be anastomosed, the location and distance between the desired blood vessel sites for anastomosis must be considered as factors in determining the therapeutically effective amount of growth factor. Where anastomosis is desired, it is preferred that the therapeutically effective amount of growth factor be administered in a single application. Where the growth factor is administered in a single application for this purpose, it may be preferred to administer the growth factor via a sustained release delivery vehicle.

15

Compositions for exogenous application of a growth factor from the PDGF family for increasing collateral circulation are readily ascertained by one of ordinary skill in the art. It will, of course, be appreciated that, like the determination of the therapeutically effective amount, the preferred route of administration of the growth will vary with the condition being treated. While it is possible for the growth factor to be administered as the pure or substantially pure compound, it is preferable to present it as a pharmaceutical formulation or preparation.

25

The formulations of the present invention, both for veterinary and for human use, comprise a therapeutically effective amount of a growth factor from the PDGF family as above described, together with one or more pharmaceutically acceptable carriers therefore and optionally other therapeutic ingredients. The carrier(s) must be "acceptable" in the sense of being compatible with the other ingredients of the formulation

30

35

- 16 -

and not deleterious to the recipient thereof.  
Desirably, the formulation should not include oxidizing  
or reducing agents and other substances with which  
peptides are known to be incompatible. The formulations  
5 may conveniently be presented in unit dosage form and  
may be prepared by any of the methods well known in the  
art. All methods include the step of bringing into  
association the active ingredient with the carrier which  
constitutes one or more accessory ingredients. In  
10 general the formulations are prepared by uniformly and  
intimately bringing into association the growth factor  
with liquid carriers, semi-liquid carriers, or finely  
divided solid carriers or any combination thereof.

15 As previously noted, the PDGF family includes  
PDGF, VEGF and PLGF, all of which are known in the art.  
The growth factor used to increase collateral  
circulation and/or to anastomose blood vessels can be  
any biologically active growth factor from the PDGF  
20 family, although it is preferred that the growth factor  
be PDGF. The PDGF may be a dimeric form of PDGF or a  
monomeric form of PDGF, as long as the PDGF is  
biologically active. A preferred form of PDGF is PDGF-  
BB homodimer. Preferred forms of PDGF-BB homodimer  
25 include PDGF-BB<sub>109</sub> homodimer, PDGF-BB<sub>119</sub> homodimer, and  
combinations thereof (e.g., PDGF-B<sub>119</sub>B<sub>109</sub> homodimer).

The preferred PDGF can generally be made by  
any one of a number of methods known to those skilled in  
30 the art for the production of recombinant proteins. In  
many cases, the coding sequences for the PDGF may  
already be available. It is, of course, also possible  
to synthesize the desired PDGF coding sequence using a  
DNA sequenator. The particular method used to generate  
35 the coding sequence for the PDGF will ordinarily be

- 17 -

dictated by a number of practical considerations including the availability of starting materials.

Once the coding sequence for desired form of PDGF is constructed, it is inserted into a vector, with the resulting vector being used to transfect a suitable host cell using standard techniques known to those skilled in the art. For example, the coding sequences for these subunits can be transfected into a yeast or eucaryotic host cell, and the resulting product recovered from the cell culture, or transfected into an *E. coli* host cell after which the PDGF is folded to form the biologically active protein product.

In the case of a PDGF-BB homodimer, for example, one can first modify the *v-sis* gene to obtain the human counterpart *c-sis*, or use *c-sis* as a starting material. Alternatively, one can either synthesize the PDGF-BB coding sequence, or first cut back the *c-sis* gene or modified *v-sis* gene, at an appropriate restriction site near the carboxy terminus, and then rebuild the carboxy terminus of the PDGF precursor protein coding sequence to the desired end position using preferred codons for the particular vector and host cell being employed. The *c-sis* gene or modified *v-sis* gene can also be cut back at an appropriate restriction site near the amino terminus, with the amino terminus being built back to the desired starting position, again using preferred codons for the selected vector and host cell systems. In other words, any combination of synthetic methods and *in vitro* mutagenesis of naturally occurring starting materials can be used to generate PDGF, such as the preferred PDGF-BB homodimer.

35

- 18 -

The preferred host cell system for production of the fusion dimer of the present invention is a bacterial host cell, preferably *E. coli*. In addition to the particular expression systems herein described,  
5 other systems are contemplated by the present invention and include, for example but without limitation, modification of the sites for protease cleavage, and/or use of an alternate leader sequence to increase the level of production of host cells of the fusion dimers  
10 of the present invention.

The following examples are provided to aid in the understanding of the present invention, the true scope of which is set forth in the appended claims.  
15 Although any form of PDGF is contemplated by the present invention, the PDGF-BB<sub>119</sub> homodimer was used in the examples which follow. It is understood that modifications can be made in the procedures set forth, without departing from the spirit of the invention.

20

#### Example 1

##### Construction of PDGF-B<sub>119</sub> Coding Sequence

25 A PDGF-B<sub>119</sub> coding sequence, shown in Fig. 3, was constructed using the *v-sis* gene as a starting material.

##### A. Conversion of Amino acids 101 and 102

30

One microgram of the plasmid pC60, a clone of the simian sarcoma virus retroviral genome (Wong-Staal et al., *Science*, 213, 226-228 (1981)), was digested with restriction endonucleases *Sall* and *XbaI*, with the  
35 resulting 1183 base pair fragment then being purified by

- 19 -

electrophoretic separation in a low melting temperature agarose gel, in accordance with the procedures described by Maniatis et al., *Molecular Cloning - A Laboratory Manual*, Cold Spring Harbor Laboratory (1982). The

5 purified fragment was then excised from the gel. At the same time, 0.2 µg of M13mp19 DNA was also digested with *Sal*I and *Xba*I, with the large 7245 base pair band being similarly isolated from a low melting temperature gel. Both excised gel slices were melted at 65°C, and then

10 cooled to 37°C. All of the gel with the 7245 base pair M13mp19 fragment and one fourth of the gel with the 1183 base pair *v-sis* fragment were mixed and ligated according to Struhl, *Biotechniques*, 3, 452-453 (1985). The ligated DNA was transformed into *E. coli* K12 strain

15 TG1, and a clear plaque was selected and grown in liquid culture. The presence of the 1183 base pair *v-sis* fragment in the M13mp19 vector was confirmed by preparation of the RF form of the phage DNA and restriction map analysis. Messing et al., *Nucl. Acids*

20 *Res.*, 9, 309-321 (1981).

The M13mp19/*v-sis* phage thus obtained was grown in liquid culture, and the single stranded DNA isolated. Messing et al., *ibid.* This DNA was used as a

25 template for oligonucleotide-directed *in vitro* mutagenesis to convert the amino acids at residues 101 and 107 to the corresponding amino acids of PDGF-B. I.e., the ATA codon coding for isoleucine 101 was converted to ACA (coding for threonine), and the GCT

30 codon coding for alanine 107 was converted to CCT (coding for proline).

Ten micrograms of the M13mp19/*v-sis* single-stranded DNA was annealed with 8 pmol of a

35 phosphorylated oligonucleotide having the sequence:

- 20 -

5' GGTCACAGGCCGTGCAGCTGCCACTGTCTCACAC 3'

This sequence is homologous to nucleotides 4283 to 4316 of the *v-sis* gene (numbering system of Devare, et al., *Proc. Natl. Acad. Sci. USA*, 79, 3179-3183 (1982)). The underlined bases of the oligonucleotide denote the changes from the *v-sis* to the human PDGF-B sequence. DNA synthesis was initiated on the mutant oligonucleotide, with the complete mutant strand being synthesized with the Klenow fragment of *E. coli* DNA polymerase I using thionucleotide triphosphates, followed by ligation with T4 DNA ligase. Any remaining single-stranded template M13mp18/*v-sis* DNA was removed by filtration on nitrocellulose filters. The non-mutant strand was nicked by incubation with restriction endonuclease III. The nicked non-mutant strand was then repolymerized with the deoxynucleotide triphosphates, using the mutant strand as a template. As a result, both DNA strands in the final product contained the desired mutations. The DNA was transformed into *E. coli* K12 strain TG1. Plaques were selected, grown in liquid culture, and the single-stranded DNA isolated. The DNA was sequenced by the method of Sanger et al., *Proc. Natl. Acad. Sci. USA*, 74, 5463-5467 (1977) to confirm that the desired mutants had been obtained.

#### B. Conversion of Amino Acids 6 and 7

In the next step, the 5'-end of the mutated *v-sis* gene was replaced with a synthetic DNA fragment which changed amino acids 6 and 7 from the *v-sis* to the human PDGF-B forms. This synthetic fragment also provided a translation-initiating ATG codon immediately preceding the codon for serine 1 of human PDGF-B, as

- 21 -

well as providing sequences for binding to *E. coli* ribosomes and a restriction site for ligation into the desired *E. coli* expression vector (described below). The synthetic DNA fragment was ligated to the *Bgl*III site located at nucleotide 4061 of the *v-sis* gene (numbering system of Devare et al., *ibid*). Because a *Bgl*III site which is present within the M13mp19 vector would complicate and interfere with this step, the mutated *v-sis* gene was first moved to the commercially available plasmid vector pUC18, which does not contain a *Bgl*III site. The M13mp19/*v-sis* mutant RF DNA was restricted with *Sal*I and *Bam*H1, and the resulting 1193 base pair fragment isolated by electrophoresis using a low melting temperature agarose gel. This fragment was ligated to the plasmid pUC18 which had also been restricted with *Sal*I and *Bam*H1. The ligated DNA was transformed into the commercially available *E. coli* K12 strain DH5 and transformants were selected by growth in the presence of ampicillin. Colonies were selected, grown in liquid culture, and isolated plasmid DNA analyzed by restriction mapping for the presence of the *v-sis* insert.

The pUC18/*v-sis* mutant DNA was restricted with *Hind*III, which cuts in the polylinker of pUC18 just upstream of the mutated *v-sis* insert, and with *Bgl*III, which cuts within the *v-sis* DNA at nucleotide 4061 (Numbering system of Devare et al., *ibid*) corresponding to amino acid number 24 of the mature protein product. The large 3365 base pair fragment resulting from this reaction was isolated by electrophoresis in a low melting temperature agarose gel. This fragment was ligated to a synthetic double-stranded DNA fragment having the following sequence:

35



- 22 -

5' AGCTTCTAGAAGGAGGAATAACATATGTCTCTGGGTTTCGTTAACCATTGCG-  
 3' AGATCTTCCTCCTTATTGTATACAGAGACCCAAGCAATTGGTAACGC-  
 -GAACCGGCTATGATTGCCGAGTGCAAGACACGAACCGAGGTGTTCTGA 3'  
 5 -CTTGGCCGATACTAACGGCTCACGTTCTGTGCTTGGCTCCACAAGCTCTAG 5'

This synthetic DNA fragment contains a *HindIII* "sticky" end at its upstream (left) end and a *BglIII* "sticky" end at its downstream (right) end. In addition, an *XbaI* site (TCTAGA) is present within the synthetic DNA just downstream of the *HindIII* "sticky" end, which allows subsequent restriction with *XbaI* for ligation into the *XbaI* site of an expression vector described below. The ligated DNA was transformed into *E. coli* K12 strain DH5, with transformants being selected by growth on ampicillin-containing medium. The plasmid DNAs from resulting colonies were analyzed by restriction mapping for the presence of the synthetic DNA fragment. At this point, the pUC18/*v-sis* construction contained a mutated *v-sis* gene, with amino acid number 6, 6, 101, and 107 changed to the human PDGF form, and its 5'-end altered to begin translation with an ATG codon immediately preceding serine 1.

#### 25 C. Conversion of Amino Acid 114 and Placement of a Stop Codon at Amino Acid 120

In the next step, the codon for amino acid number 114 was changed from ACT to GGT, resulting in the substitution of glycine for threonine in the final protein product. In addition, codon number 120, in which GCC codes for alanine in *v-sis*, was changed to TAA, a translation termination codon. The resulting protein product of this construction ends with the arginine at residue 119. Both of the changes were

- 23 -

accomplished in one step by insertion of a synthetic DNA fragment after a *Sma*I site located within codon number 112.

5           The pUC18/*v-sis* mutant DNA generated above was restricted with *Sma*I, which cuts at nucleotide 4324 in the *v-sis* sequence (numbering system of Devare et al., *ibid*), and with *Eco*RI, which cuts in the polylinker of pUC18 just downstream of the *v-sis* insert. A small  
10 fragment (510 base pairs) between the *Sma*I and *Eco*RI sites, coding for the C-terminal portion of the *v-sis* protein and a 3'- untranslated sequence, was removed by electrophoresis on a low melting temperature agarose  
15 ligated to a synthetic DNA fragment having the following sequence:

5' GGGGGGTTCCCAGGAGCAGCGATAAG       3'  
3' CCCCCAAGGGTCCTCGTCGCTATTCTTAA 5'

20

          The GGT codon coding for the new glycine residue at position 114 and the TAA termination codon introduced at position 120 are underlined above. This synthetic DNA fragment contains a blunt end at its  
25 upstream (left) and for ligating to the blunt end created by restriction of the *v-sis* mutant sequence with *Sma*I, and an *Eco*RI "sticky" end at its downstream (right) end for ligating to the *Eco*RI end created by restriction of the pUC18 polylinker with *Eco*RI. The  
30 ligated DNA was transformed into *E. coli* K12 strain DH5, with transformants being selected by growth on ampicillin-containing medium. The plasmid DNAs from resulting colonies were analyzed for the presence of the synthetic DNA fragment by restriction mapping.

35

- 24 -

Example 2Expression of PDGF-BB<sub>119</sub> Homodimer in *E. coli*

5           The completed form of the mutated *v-sis* gene  
was removed from pUC18 and ligated into the *E. coli*  
expression vector pCFM1156. The plasmid pCFM1156PL is  
prepared from the known plasmid pCFM836. The  
preparation of plasmid pCFM836 is described in U.S.  
10 Patent No. 4,710,473, the relevant portions of the  
specification, particularly examples 1 to 7, are hereby  
incorporated by reference. To prepare pCFM1156 and  
pCFM836, the two endogenous *Nde*I restriction sites are  
cut, the exposed ends are filled with T4 polymerase, and  
15 the filled ends are blunt-end ligated.

The resulting plasmid is then digested with  
*Cla*I and *Kpn*I and the excised DNA fragment is replaced  
with a DNA oligonucleotide of the following sequence:

20

5' → 3'

*Cla*I*Kpn*I

CGATTTGATTCTAGAAGGAGGAATAACATATGGTTAACGCGTTGGAATTCGGTAC

TAAACTAAGATCTTCCTCCTTATTGTATACCAATTGCGCAACCTTAAGC

25   3' → 5'

The pCFM1156 vector contains a region for  
insertion of foreign genes between an upstream *Xba*I site  
and one of a number of downstream restriction sites. In  
30 this case, the downstream *Eco*RI site was utilized. The  
pUC18/*v-sis* mutant DNA generated above was restricted  
with *Xba*I and *Eco*RI, with the small 383 base pair  
fragment being isolated by electrophoresis on a low-  
melting temperature agarose gel. This fragment was  
35 ligated to pCFM1156 DNA which had also been restricted

- 25 -

with *Xba*I and *Eco*RI. The ligated DNA was transformed into *E. coli* strain FM-5 (ATCC NO. 67545), with transformants being selected by growth on kanamycin-containing medium. The plasmid DNAs from resulting colonies were analyzed for the presence of the inserted DNA fragment by restriction mapping.

The final expression plasmid contained an inserted DNA sequence which codes for a protein that begins with an initiating methionine, followed by amino acids 1-119 of the human PDGF-B sequence. The procaryotic *E. coli* host cells removed the N-terminal methionine after synthesis, so that the final protein produced corresponds to amino acids 1-119 of human PDGF-B.

The 119 amino acid PDGF B protein was expressed by growing bacterial cells containing the expression plasmid at 28-30°C until the desired optical density of the culture was reached, and then shifting the culture to growth at 42°C, and at several time points thereafter.

### Example 3

25

#### Purification and Refolding of PDGF-BB Homodimer

Cells from the *E. coli* fermentation medium of Example 2, containing PDGF-BB<sub>119</sub>, were first suspended in about 3 volumes (wet weight/volume) of water, and then passed three times through a Gaulin homogenizer of 9000 psi. An additional 4 volumes of water were added and the homogenized cells were then centrifuged at 5000 x g for 1 hour at 4°C, and the supernatant discarded.

35

- 26 -

The resulting precipitate (inclusion bodies containing PDGF-BB<sub>119</sub>) was suspended in 6 M guanidine-HCl, 2.5 times cell pellet weight.  $\beta$ -mercaptoethanol was added to a concentration of about 0.14% (v/v); and the suspension mixed for 30 minutes at ambient temperature. This mixture was added to nine volumes of 11 mM Tris·HCl, pH 8.5, and mixing continued for about 20 hours at ambient temperature. The pH was adjusted to about 4 with acetic acid and clarified by filtration. The resulting filtrate was diluted 2-fold with water and then loaded onto an S-Sepharose<sup>®</sup> column (Pharmacia Biotech, Piscataway, New Jersey) equilibrated with 10 mM Tris·HCl, pH 7.7. The loaded column was washed with: (1) 10 mM Tris·HCl, pH 7.7; then (2) 10 mM Tris·HCl, pH 7.7, 0.3 M sodium chloride; and then (3) 10 mM Tris·HCl, pH 7.5, 0.5 M sodium chloride.

The fractions in the last wash, containing the PDGF-BB<sub>119</sub>, were pooled and applied to a Vydac C4 column equilibrated with 20% ethanol, 10 mM Tris·HCl, pH 7.7. The column was washed with 10 volumes of 20% ethanol, 0.4 M NaCl, 10 mM Tris·HCl, pH 7.7, and then with 25% ethanol, 0.35 M NaCl, 10 mM Tris·HCl, pH 7.7. Those fractions in the last wash containing PDGF were pooled, acidified with 0.5% (v/v) acetic acid, and then diafiltered, using an Amicon YM<sup>®</sup> 10 ultrafiltration membrane (Amicon Inc., Danvers, Massachusetts), with about 6 volumes 0.15 M sodium chloride, 10 mM sodium acetate, pH 4.

30

35

- 27 -

Example 4Preparation of a Single Layer Collagen Film

- 5           A single layer collagen film containing the purified and refolded PDGF-BB<sub>119</sub> from Example 3 was prepared according to the procedure set forth in International Publication No. WO/92/22304.
- 10           Collagen films were prepared by the solvent casting method from a solution of soluble collagen. The soluble collagen was purchased from Semex Co. (Frazer, Pennsylvania). This collagen is from bovine origin and it contains 99% type I collagen and 1% type III
- 15           collagen. The molecular weight of the collagen is 300 K dalton and the density is 0.044 gram/cc. The antigenicity of the collagen is minimal since the telopeptide is removed from the collagen.
- 20           First, a 4% collagen solution was prepared by dissolving the soluble collagen in 0-5% acetic acid solution at 18-70°C. After the addition of the plasticizer glycerol (about 20% of the dry weight of the collagen), ethanol was added to the solution to
- 25           facilitate the solvent evaporation process. The amount of alcohol was about 20% of the amount of the solution. The solution was then centrifuged to remove the undissolved material.
- 30           A solution containing the purified and refolded PDGF-BB<sub>119</sub> from Example 3 was added to the 4% soluble collagen solution. The resulting collagen solution, containing PDGF-BB<sub>119</sub>, was cast on a Teflon™ surface and dried at room temperature until the weight
- 35           of the film was constant (for about 1-3 days) to produce

- 28 -

collagen films containing 360 µg of the PDGF-BB<sub>119</sub> homodimer. An additional amount of the 4% collagen solution was prepared in the same manner, but without addition of PDGF, to serve as a negative control in subsequent experiments.

#### Example 5

##### Induction of Collateral Circulation by PDGF

10

The rat skin flap model of ischemia-induced angiogenesis of McKee et al., *Plast. Reconstr. Surg.*, 67, 200-204 (1981) and Tsur et al., *Plast Reconstr. Surg.*, 66, 85-93 (1980) was generally followed to demonstrate the ability of PDGF to improve collateral circulation in ischemic tissue at risk of necrosis, except that the epigastric vessels to the abdominal flap created on the rat were initially kept intact to allow continued direct circulation to the flap following prophylactic application of PDGF. Direct circulation to the flap was later compromised by ligation of the epigastric vascular vessels in a second procedure. The collagen wafers from Example 4 were used for prophylactic administration of PDGF to the surgically created flap prior to occlusion of the blood supply during the second procedure.

Seventy male Fisher rats were anaesthetized with intraperitoneal pentobarbitol (12 to 21 mg) and maintained with supplementary doses. The left groin and abdomen were shaved and depilated, and the animals mounted on a board using standard procedures known in the art. A template was used to mark a 3 x 6 cm epigastric axial flap with its base over the groin and the medial edge at the midline. The same procedure was

- 29 -

repeated with the right groin and abdomen to create two abdominal flaps on each rat. The flaps were then raised bilaterally on the abdominal surface using sharp dissection, dividing all of the blood vessels between the skin flap and the abdomen. At this point, the flaps were still perfused by direct circulation from the epigastric vessels which were kept intact, as described by Petry and Wortham, *Plast. Reconstr. Surg.*, 74, 410-413 (1984). A 2 x 5 cm PDGF-BB<sub>119</sub>-containing collagen wafer from Example 4 was inserted under one flap on each rat, with a negative control collagen wafer being inserted under the other flap. The flaps were carefully sutured back into place with silk suture following insertion of the collagen wafers. This procedure allowed for prophylactic action by the administered PDGF prior to occlusion of direct blood flow from the epigastric vessels.

A second procedure, designed to compromise direct circulation to the flap at varying points in time following prophylactic administration of PDGF, was carried out 1, 2, 3, 4, 5, 7, or 10 days after the first procedure. Under general anesthesia, the epigastric vascular pedicle in the groin of the rats was exposed on each side and then ligated with silk suture, completely compromising direct circulation to the flap. Sodium fluorescein was injected and the dermatofluorometric index (DFI) was measured 20 minutes later, according to the procedure of Graham et al., *Plast. Reconstr. Surg.*, 71, 826-831 (1983). The area of surviving skin was evaluated 3 days later, using standard planimetric analysis. The aorta was then cannulated and the vasculature flushed with 20 ml. of warm heparinized saline, after which a mixture of lead oxide, saline and gelatin was injected, as described in Rees and Taylor,



- 30 -

*Plast. Reconstr. Surg.*, 77, 141-145 (1986). Flap territories were resected and radiographed following overnight refrigeration.

5                Samples from rats in the 3-day group demonstrated increased tissue survival of the PDGF-treated flaps. A representative angiogram taken from the 3-day group, shown in Fig. 2, depicts a marked increase in early small blood vessels perfusing the PDGF-treated flap. In the 4-day group, most of the PDGF-treated flaps had developed enough new circulation from the abdomen to achieve complete survival. Unlike the flaps taken from rats in the 3-day group, which showed only an increase in small blood vessels, microangiography of the PDGF-treated flaps taken from rats in the 4-day group revealed large vascular connections from the abdomen to the flap as compared to the control side. A representative angiogram from the 4-day group is shown in Fig. 3. This angiogram demonstrates an abundance of mature functional new vessels on the PDGF-treated flap, and previously divided vessel ends are seen reconnecting across the flap margin. In the 5-day group, the control flaps still had significant necrosis, indicating inadequate perfusion, while the PDGF-treated flaps showed complete survival. A representative angiogram from the day-7 group, shown in Fig. 4, demonstrates the formation of still more new vessels and vascular connections from the margins in the PDGF-treated flaps.

30                The results of this study are summarized in the graphs displayed in Figs. 5 and 6. The graph in Fig. 5 shows perfusion of the flap tissue by PDGF-induced collateral circulation relative to normal skin perfusion. By day 5, the PDGF-treated flap had

- 31 -

developed almost twice the control amount of perfusion. At day 10, prophylactic treatment of the skin flap tissue with PDGF had caused the tissue to acquire more than normal blood flow (115%), while the untreated control side had only 84.5% of normal blood flow. The flap survival graph in Fig. 6 demonstrates that, at four days, PDGF treatment allowed 85% of the flap to survive, while control flaps had only 49% survival.

10

Example 6Neovascularization Using PDGF

To examine the ability of PDGF-BB to induce the regeneration of a single large caliber vessel between two vessel ends in 30 retired male Lewis rats, a segment of the superficial femoral artery was cauterized and resected to create a 3-4 mm gap. 200  $\mu$ l of either PDGF-BB from Example 3 or an inactive mutant variant of PDGF-BB were injected into the tissue between the two separated ends of the vessel, with each animal serving as his own control. Reconstitution of vascular continuity was evaluated at 2 and 3 weeks by latex injection, direct observation, microangiography (Rees and Taylor, *Plast. Reconstr. Surg.*, 77, 141-145 (1986)), corrosion casts and serial histologic sectioning. After 2 weeks, 33% (4 of 12) of the vessels had re-established continuity in the active PDGF-BB-treated group, while none of the vessels in the control group had regenerated (p < 0.05). After 3 weeks, 100% (18 of 18) arteries in the PDGF-BB group had regenerated and re-established patent vascular conduits, while none of the vessels in the control group had reconnected (p < 0.0001), as shown in Fig. 7A.

35

- 32 -

On histological evaluation, the regenerated artery was seen with a complete endothelial layer surrounded by smooth muscle tissue. The vessel diameter was comparable in size to the original femoral artery, implicating a response of functional vessel regeneration. The new arterial segment appeared to "sprout" off as a branch vessel close to the thrombosed end of the severed vessel and bridged the gap in a manner similar to a surgical vein graft bypass, as shown in Fig. 7B.

#### Example 7

##### Cardiac Revascularization using PDGF

This example demonstrates cardiac revascularization on a beating heart without resorting to a surgical anastomosis or cardiopulmonary bypass, combining the angiogenic effect of PDGF-BB with the implantation into the myocardium of an extra-cardiac vessel.

New Zealand white rabbits (3.5 - 4 kg) were anesthetized with an intramuscular injection of ketamine (15 mg/kg) and xylazine (5 mg/kg). The rabbits were intubated orally, and mechanically ventilated with 100% oxygen. A precordial electrocardiogram (ECG) was recorded throughout each surgical procedure. The NIH "Guide for the Care and Use of Laboratory Animals" (NIH Publication No. 86-23, revised 1985) was followed throughout the study.

Under sterile surgical conditions, the superior epigastric/internal mammary vessels were dissected free bilaterally from the upper abdominal and

- 33 -

lower chest walls. The vascular bundles were introduced into the chest cavity through a left thoracotomy incision. A tunnel was created through the left ventricular myocardium in close proximity to the mid  
5 portion of the left anterior descending coronary artery (LAD). The vascular bundles were placed through the tunnel with the ligated vessel ends close to the surface of the myocardium (Fig. 8). A 0.5 ml volume of solution was injected into the myocardial tissue surrounding the  
10 implanted vessels. The solution contained phosphate-buffered saline either with (treated) or without (treated control) 1 mg/ml concentration of the recombinant PDGF-BB of Example 3, with delivery on a blinded and randomized basis. Prior to closing the  
15 thoracotomy, a 4-0 ligature was passed around the LAD proximal to the implanted vessels without tying a knot. Untied ends were buried in the subcutaneous tissue of the chest wall for easy retrieval at the second operation. The chest was closed in layers over a small  
20 chest tube that was used to evacuate any remaining air in the pleural space.

Two weeks following the first operation, the rabbits were reanesthetized and the ends of the untied  
25 ligature retrieved. The LAD was occluded by direct ligature. A total of 28 rabbits were used, 14 in each of the two groups (treated and treated control). An additional 8 rabbits underwent LAD ligation without implantation of vessels or myocardial injection, thus  
30 serving as an untreated control group.

Four days after LAD ligation, microangiography was performed by injecting contrast medium through the  
implanted vessels of the surviving animals. both  
35 internal mammary arteries were cannulated with a

- 34 -

30-gauge catheter using an operating microscope for visualization. After briefly flushing the vessels with heparinized saline, 20 ml of a lead oxide/gelatin radiopaque solution were injected. The heart and  
5 implanted vessels were removed from the chest, left at 4°C overnight, and x-rayed using a stationary mammography system at 12 mA, 25 kV for 0.20 seconds to maximize resolution. Rees and Taylor, *Plast. Reconstr. Surg.*, 77, 141-145 (1986).

10

Histochemical staining using triphenyltetrazolium chloride (TTC) was used to identify regions of infarction. Lie et al., *J. Thorac. Cardiovasc. Surg.*, 69, 599-605 (1975). The left  
15 ventricle was transversely sectioned into 3 mm thick slices and the extent of the infarct (unstained tissue) was determined by computerized planimetry and 3-dimensional reconstruction. The slices were then immersion-fixed in buffered formalin, then processed for  
20 histological analysis using hematoxyline and eosin stains.

The proportions of surviving animals between groups were compared with the Fisher exact test. The  
25 average volume of infarcted zone in surviving animals was compared between groups with Student's t-test.

Only 50% (4 of 8) of the untreated control animals survived acute ligation of the LAD. The ECG  
30 manifested large ST-segment elevations indicative of severe myocardial injury. Implantation of the extra-cardiac vessels without PDGF-BB treatment (treated control group) did not alter survival, with 57% (8 of  
14) of the treated control group surviving. The ECG of  
35 the treated control animals was similar to the untreated

- 35 -

control animals, although in 4 of the 8 treated control survivors, the ST elevations reverted to baseline within 10-15 minutes. With PDGF-BB treatment around the implanted vessels (treated group), survival significantly improved to 93% (13 of 14;  $p < 0.05$ ). All survivors in the treated group demonstrated a return to baseline of the ST-segment elevations within 10-15 minutes.

None of the untreated control animals was free of myocardial infarction, whereas 4 of the 8 surviving animals in the treated control group (implanted vessels without PDGF) were free of infarction ( $p < 0.5$ ). In contrast, 11 of the 13 PDGF-BB treated surviving animals were free of infarction ( $p < 0.01$  vs. either control group), as shown in the bar graph of Fig. 9. The average zone of infarction (as a percentage of the entire left ventricle) was significantly smaller in the PDGF-BB injected hearts in comparison to both untreated and vehicle-injected hearts, as shown in the bar graph of Fig. 10. Representative gross pathological cross-sections of the left ventricle from rabbits in each group are shown in Fig. 11, with the unstained (white) area representing the area of infarct.

Microangiography through the implanted vessels of the treated control group revealed patent vessels to the surface of the heart but without filling the coronary circulation (extra-cardiac vascular pedicles designated by the arrow), as shown in Fig. 12A. In contrast, there was extensive filling of the coronary vasculature in the region of the LAD distribution for hearts injected with PDGF-BB (treated group), as shown in Fig. 12B. Histological analysis confirmed the communication between the implanted vessels and the LAD.

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

(i) APPLICANT: Washington University

(ii) TITLE OF INVENTION: Use of Platelet Derived Growth  
Factor to Improve Collateral  
Circulation

(iii) NUMBER OF SEQUENCES: 5

## (iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Amgen Inc.

(B) STREET: 1840 Dehavilland Dr.

(C) CITY: Thousand Oaks

(D) STATE: California

(E) COUNTRY: USA

(F) ZIP: 91320-1789

## (v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Diskette, 3.5 in., DS, 1.4 MB

(B) COMPUTER: Apple Macintosh

(C) OPERATING SYSTEM: Macintosh OS 7.0

(D) SOFTWARE: Microsoft Word Version 5.0

## (vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER: 08/055,062

(B) FILING DATE: 29-APR-1993

(C) CLASSIFICATION: not yet known

## (2) INFORMATION FOR SEQ ID NO:1:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 34 bases

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: other nucleic acid

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

GGTCACAGGC CGTGCAGCTG CCACTGTCTC ACAC

34

(3) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 98 bases (upper strand)

(B) TYPE: nucleic acid

(C) STRANDEDNESS: double

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: other nucleic acid

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

AGCTTCTAGA AGGAGGAATA ACATATGTCT CTGGGTTCGT  
AGATCT TCCTCCTTAT TGTATACAGA GACCCAAGCA

40

TAACCATTGC GGAACCGGCT ATGATTGCCG AGTGCAAGAC  
ATTGGTAACG CCTTGGCCGA TACTAACGGC TCACGTTCTG

80

ACGAACCGAG GTGTTCTGA  
TGCTTGGCTC CACAAGCTCT AG

98

(4) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 30 bases (lower strand)

(B) TYPE: nucleic acid

(C) STRANDEDNESS: double

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: other nucleic acid

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:



GGGGGGTTCC CAGGAGCAGC GATAAG  
 CCCCCCAAGG GTCCTCGTCG CTATTCTTAA

30

## (5) INFORMATION FOR SEQ ID NO:4:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 55 bases (upper strand)  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: double  
 (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: other nucleic acid

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

CGATTTGATT CTAGAAGGAG GAATAACATA TGGTTAACGC  
 TAAACTAA GATCTTCCTC CTTATTGTAT ACCAATTGCG

40

GTTGGAATTC GGTAC  
 CAACCTTAAG C

55

## (6) INFORMATION FOR SEQ ID NO:5:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 386 bases (upper strand)  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: double  
 (D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: other nucleic acid

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

CTAGAAGGAG GAATAACAT ATG TCT CTG GGT TCG TTA ACC  
 TTCCTC CTTATTGTA TAC AGA GAC CCA AGC AAT TGG  
 Met Ser Leu Gly Ser Leu Thr

40

ATT GCG GAA CCG GCT ATG ATT GCC GAG TGC AAG ACA  
 TAA CGC CTT GGC CGA TAC TAA CGG CTC ACG TTC TGT  
 Ile Ala Glu Pro Ala Met Ile Ala Glu Cys Lys Thr

76

CGA ACC GAG GTG TTC GAG ATC TCC CGG CGC CTC ATC  
 GCT TGG CTC CAC AAG CTC TAG AGG GCC GCG GAG TAG  
 Arg Thr Glu Val Phe Glu Ile Ser Arg Arg Leu Ile

112

GAC	CGC	ACC	AAT	GCC	AAC	TTC	CTG	GTG	TGG	CCG	CCC	148
CTG	GCG	TGG	TTA	CGG	TTG	AAG	GAC	CAC	ACC	GGC	GGG	
Asp	Arg	Thr	Asn	Ala	Asn	Phe	Leu	Val	Trp	Pro	Pro	
TGC	GTG	GAG	GTG	CAG	CGC	TGC	TCC	GGC	TGT	TGC	AAC	184
ACG	CAC	CTC	CAC	GTC	GCG	ACG	AGG	CCG	ACA	ACG	TTG	
Cys	Val	Glu	Val	Gln	Arg	Cys	Ser	Gly	Cys	Cys	Asn	
AAC	CGC	AAC	GTG	CAG	TGC	CGG	CCC	ACC	CAG	GTG	CAG	220
TTG	GCG	TTG	CAC	GTC	ACG	GCC	GGG	TGG	GTC	CAC	GTC	
Asn	Arg	Asn	Val	Gln	Cys	Arg	Pro	Thr	Gln	Val	Gln	
CTG	CGG	CCA	GTC	CAG	GTG	AGA	AAG	ATC	GAG	ATT	GTG	256
GAC	GCC	GGT	CAG	GTC	CAC	TCT	TTC	TAG	CTC	TAA	CAC	
Leu	Arg	Pro	Val	Gln	Val	Arg	Lys	Ile	Glu	Ile	Val	
CGG	AAG	AAG	CCA	ATC	TTT	AAG	AAG	GCC	ACG	GTG	ACG	292
GCC	TTC	TTC	GGT	TAG	AAA	TTC	TTC	CGG	TGC	CAC	TGC	
Arg	Lys	Lys	Pro	Ile	Phe	Lys	Lys	Ala	Thr	Val	Thr	
CTG	GAG	GAC	CAC	CTG	GCA	TGC	AAG	TGT	GAG	ACA	GTG	328
GAC	CTC	CTG	GTG	GAC	CGT	ACG	TTC	ACA	CTC	TGT	CAC	
Leu	Glu	Asp	His	Leu	Ala	Cys	Lys	Cys	Glu	Thr	Val	
GCA	GCT	GCA	CGG	CCT	GTG	ACC	CGA	AGC	CCG	GGG	GTT	364
CGT	CGA	CGR	GCC	GGA	CAC	TGG	ACT	TCG	GGC	CCC	CAA	
Ala	Ala	Ala	Arg	Pro	Val	Thr	Arg	Ser	Pro	Gly	Gly	
GGT	TCC	CAG	GAG	CAG	CGA	TAAG						386
CCA	AGG	GTC	CTC	GTC	GCT	ATTCTTAA						
Ser	Gln	Glu	Gln	Arg								

- 40 -

What is claimed is:

1. A method for improving collateral  
circulation to tissue of a human or animal subject  
5 having compromised direct circulation by administering  
to said human or animal subject a therapeutically  
effective amount of a growth factor from the PDGF  
family.
- 10 2. The method of claim 1 wherein said growth  
factor from the PDGF family is platelet-derived growth  
factor.
3. The method of claim 2 wherein said tissue  
15 having compromised direct circulation is heart tissue.
4. The method of claim 2 wherein said tissue  
having compromised direct circulation is brain tissue.
- 20 5. The method of claim 2 wherein said tissue  
having compromised direct circulation is tissue located  
in an extremity.
6. The method of claim 5 wherein said  
25 extremity is a lower extremity.
7. The method of claim 2 wherein said tissue  
having compromised direct circulation is transplanted  
tissue in a reconstructive surgical procedure.
- 30 8. The method of claim 2 wherein said  
therapeutically effective amount of PDGF is administered  
over a period of time.

- 41 -

9. The method of claim 8 wherein said PDGF is administered by way of a sustained release delivery vehicle.

5           10. The method of claim 9 wherein said sustained release delivery vehicle is a collagen vehicle.

10           11. The method of claim 2 wherein said therapeutically effective amount of PDGF is administered in a single application.

15           12. A method for anastomosing divided blood vessels in a human or animal subject comprising administering to said human or animal subject a therapeutically effective amount of growth factor from the PDGF family in or about the area of the divided ends of said blood vessels.

20           13. The method of claim 12 wherein said growth factor from the PDGF family is platelet-derived growth factor.

25           14. The method of claim 13 wherein said PDGF is administered directly to said divided ends of said blood vessels.

30           15. The method of claim 14 wherein said PDGF is administered endoscopically.

          16. A method for performing a by-pass graft comprising:

          attaching the ligated end of a divided blood vessel to tissue of an organ at risk of ischemia; and

- 42 -

applying a growth factor from the PDGF family  
at or around the area of said vessel attachment.

17. The method of claim 16 wherein said  
5 growth factor from the PDGF family is platelet-derived  
growth factor.

18. The method of claim 16 wherein said organ  
is the heart.  
10

19. The method of claim 18 wherein said  
growth factor from the PDGF family is platelet-derived  
growth factor.

20. The method of claim 19 wherein said  
15 divided blood vessel is the internal mammary artery.

21. The method of claim 19 wherein said PDGF  
is applied endoscopically.  
20

10 30 50 60  
CTAGAAGGAGGAATAACATATGTCTCTGGGTTTCGTTAACCATTCGGGAACCGGCTATGAT  
-----+-----+-----+-----+-----+-----+  
TTCCTCCTTATTGTATACAGAGACCCCAAGCAATTGGTAACGCCCTTGGCCGATACTA  
MetSerLeuGlySerLeuThrIleAlaGluProAlaMetIle  
1  
70 90 110 120  
TGCCGAGTGCAAGACACGAACCGAGGTGTCGAGATCTCCGGCGCCTCATCGACCGCAC  
-----+-----+-----+-----+-----+-----+  
ACGGCTCACGTTCTGTGCTTGGCTCCACAAGCTCTAGAGGGCCGCGGAGTAGCTGGCGTG  
eAlaGluCysLysThrArgThrGluValPheGluIleSerArgArgLeuIleAspArgTh  
14  
130 150 170 180  
CAATGCCAACTTCCTGGTGTGGCCGCCCTGCGTGGAGGTGCAGCGCTGCTCCGGCTGTG  
-----+-----+-----+-----+-----+-----+  
GTTACGGTTGAAGGACACACCGGGGACGACCTCCACGTCCGCGACGAGGCCGACAAC  
rAsnAlaAsnPheLeuValTrpProProCysValGluValGlnArgCysSerGlyCysCy  
34

FIG. 1A

SUBSTITUTE SHEET (RULE 26)

181 190 210 230 240  
CAACAAACCGCAACGTGCAGTCCCGGCCACCCAGGTGCAGCTGCGGCCAGTCCAGGTGAG  
-----+-----+-----+-----+  
GTTGTTGGCGTTGCACGTACGGCCGGTGGGTCCACGTCCAGCGCGGTCCAGGTCCACTC  
sAsnAsnArgAsnValGlnCysArgProThrGlnValGlnLeuArgProValGlnValAr  
54

241 250 270 290 300  
AAAGATCGAGATTTGTGCGGAAGAAGCCCAATCTTTAAGAAGGCCACGGTGACGCTGGAGGA  
-----+-----+-----+-----+  
TTTCTAGCTCTAACACGCCTTCTTCGGTTAGAAATCTTCGGTGCCACTGCGACCTCCT  
gLysIleGluIleValArgLysLysProIlePheLysLysAlaThrValThrLeuGluAs  
74

301 310 330 350 360  
CCACCTGGCATGCAAGTGTGAGACAGTGGCAGCTGCACGGCCTGTGACCCGAAGCCCGGG  
-----+-----+-----+-----+  
GGTGGACCGTACGTTACACTCTGTCAACCGTCCGACGTGCGCGGACACTGGACTTCGGGCCCC  
pHisLeuAlaCysLysCysGluThrValAlaAlaAlaArgProValThrArgSerProGl  
94

361 370 380  
GGGTTCACGAGCAGCGATAAG  
-----+-----+-----  
CCCAAGGGTCCCTCGTCGCTATTCTTAA  
yGlySerGlnGluGlnArg  
114 119

FIG. 1B

SUBSTITUTE SHEET (RULE 26)

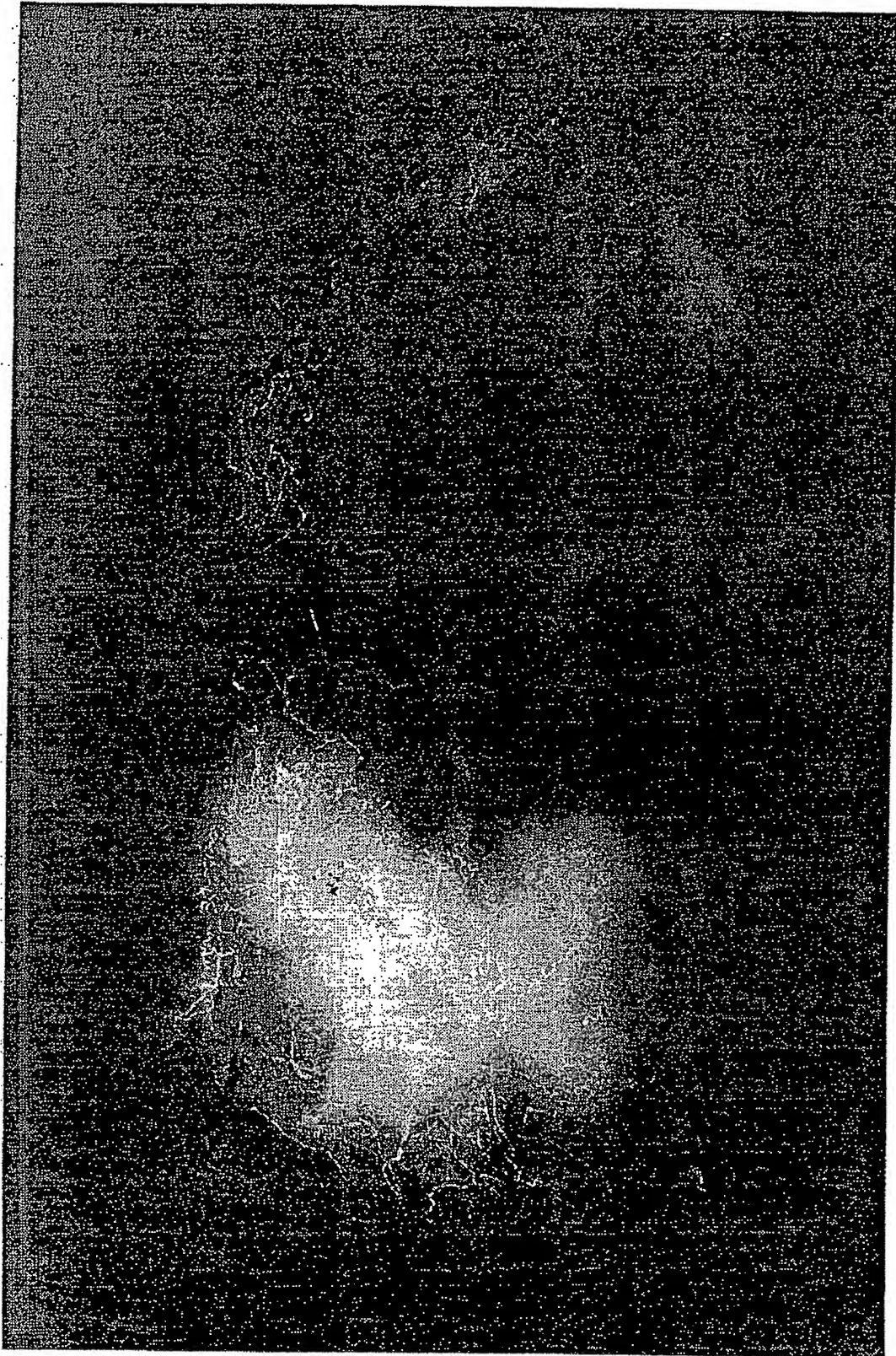


FIG. 2



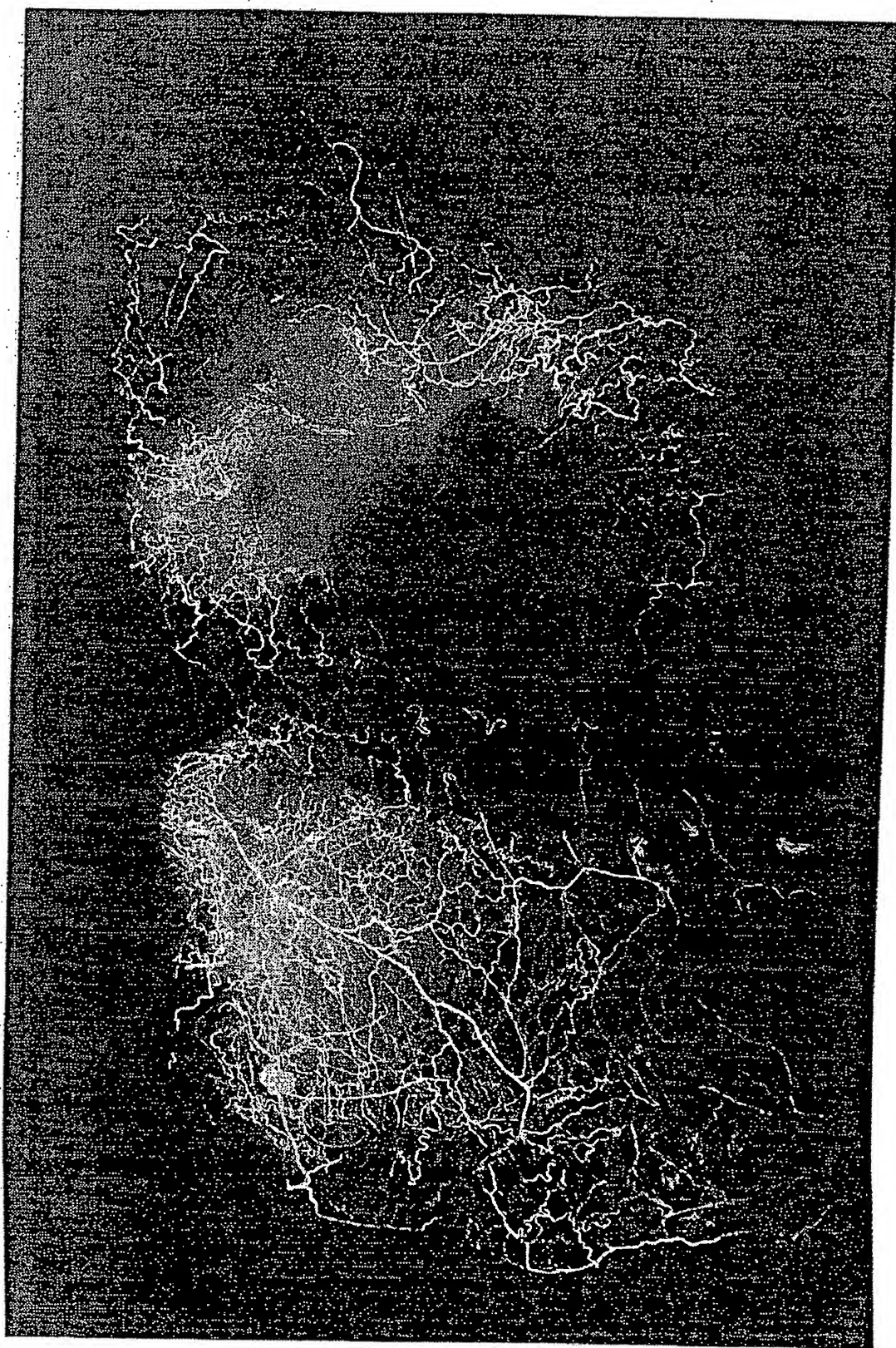


FIG. 3

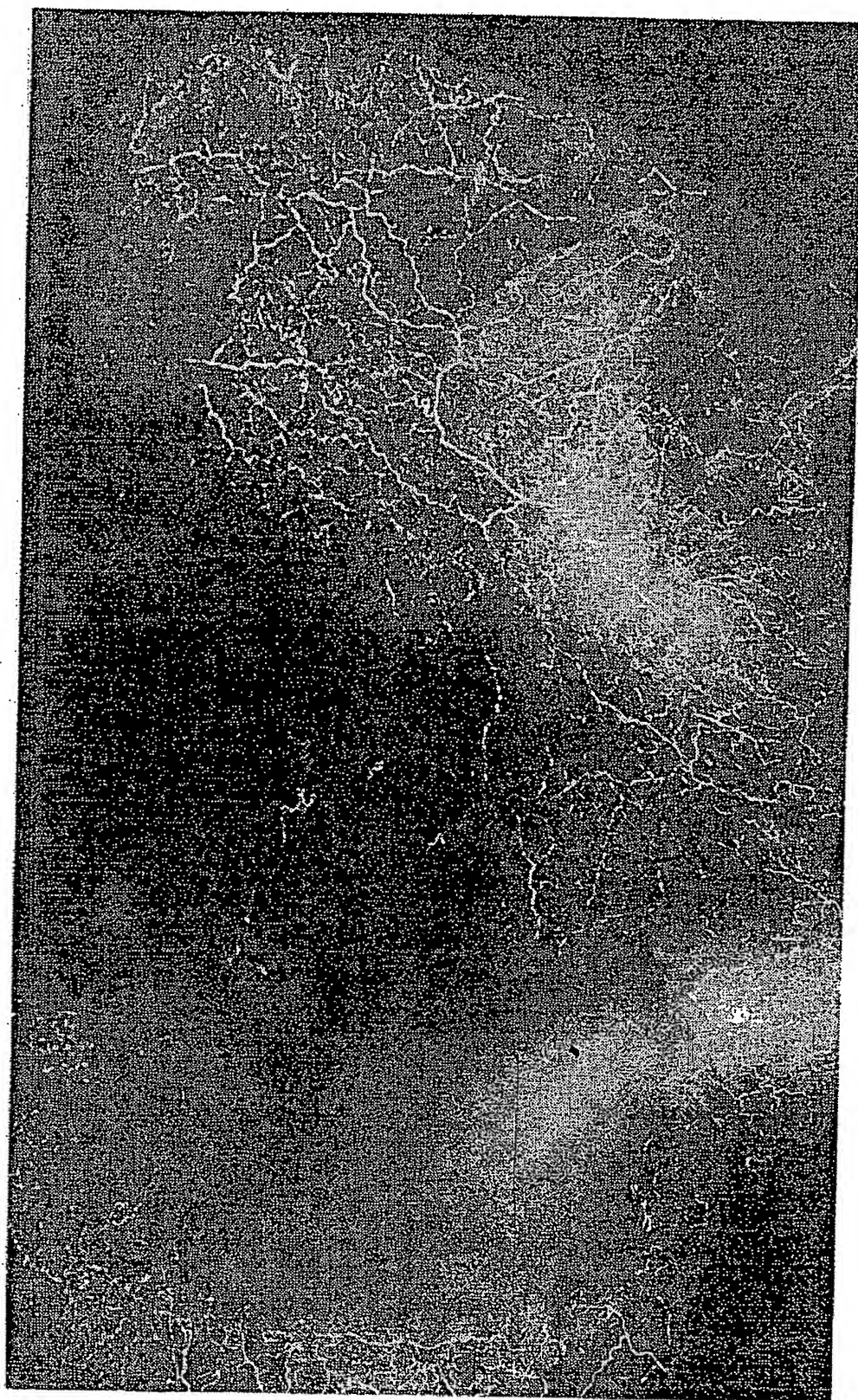


FIG. 4

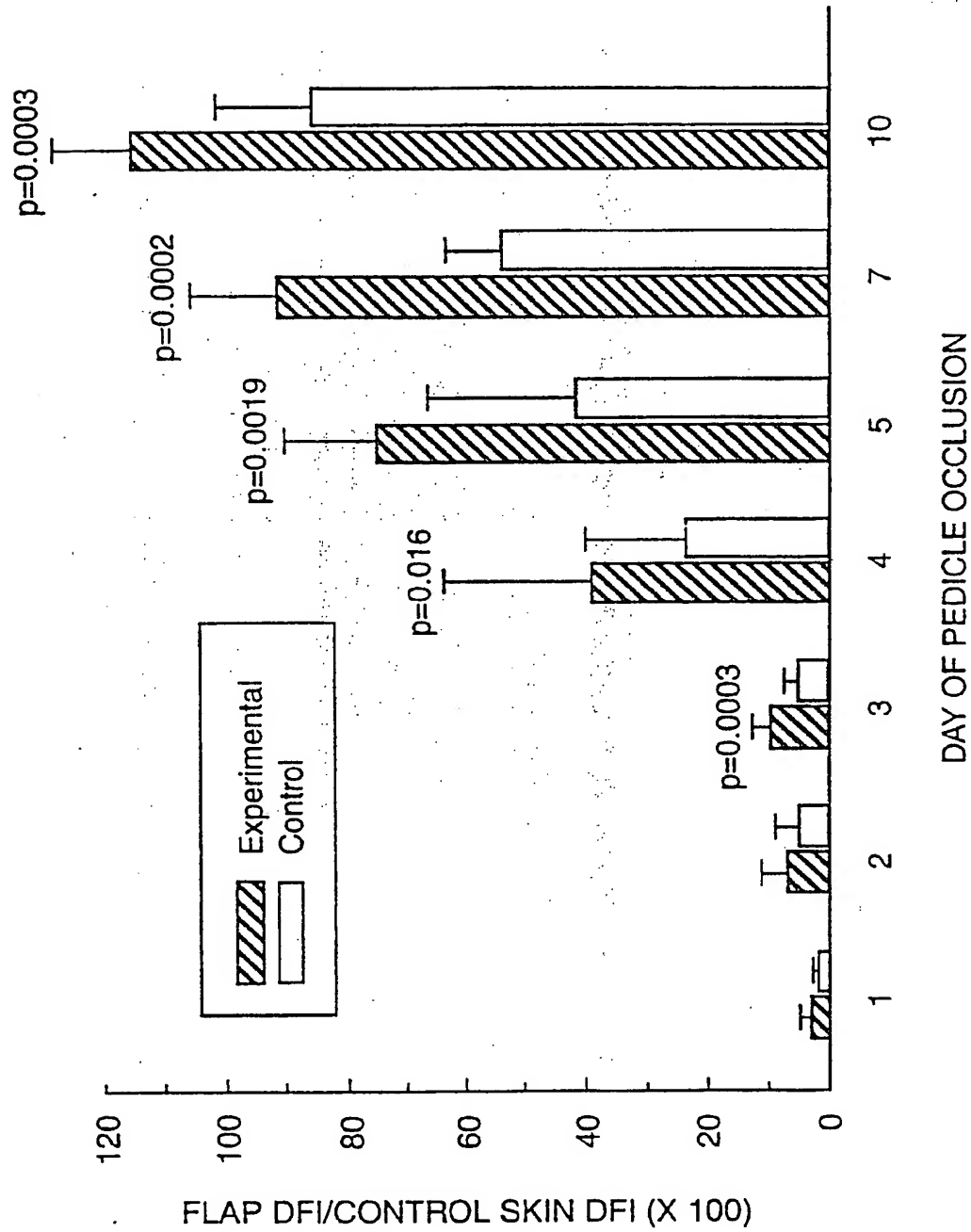


FIG. 5

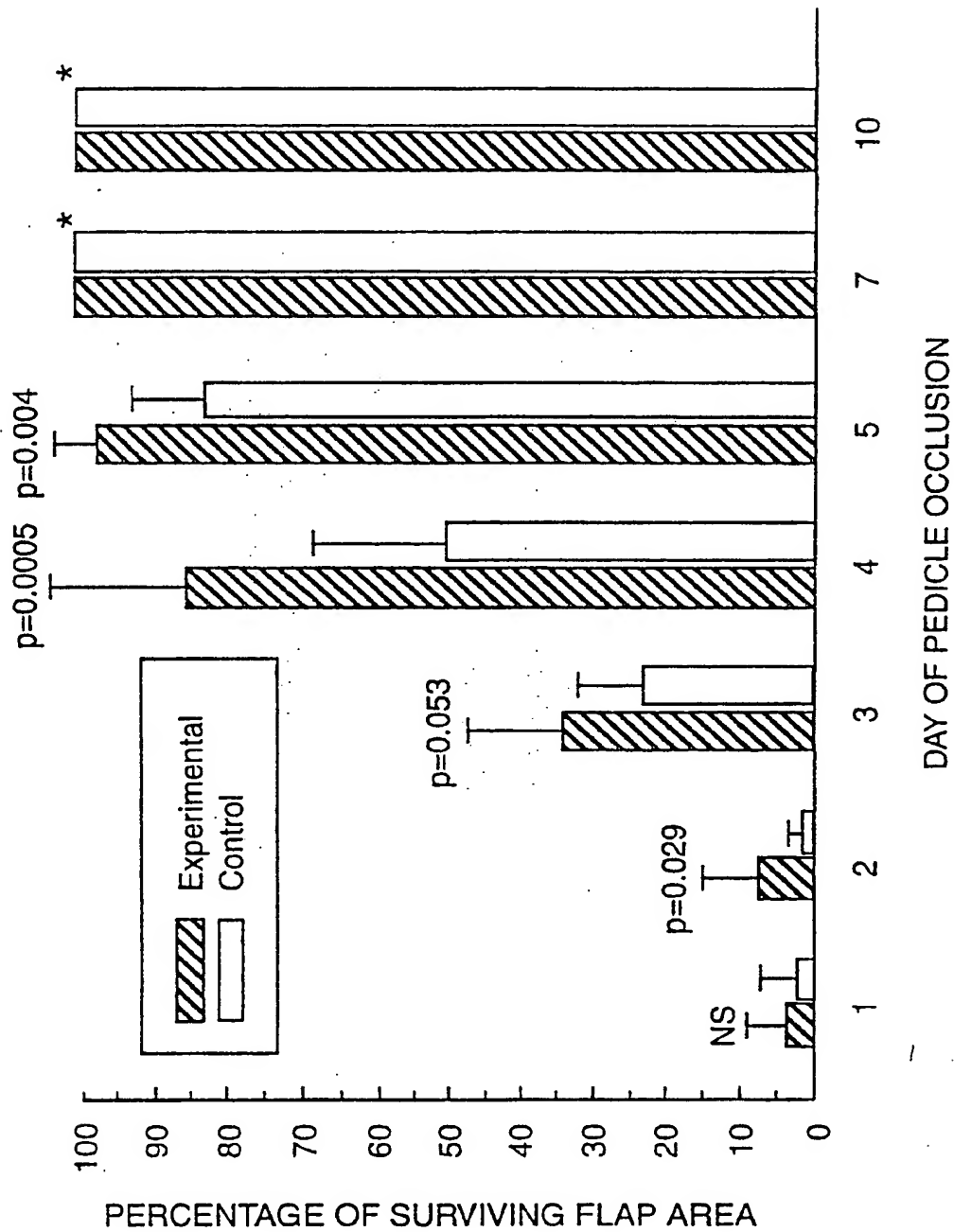


FIG. 6



FIG. 7A





FIG. 7B

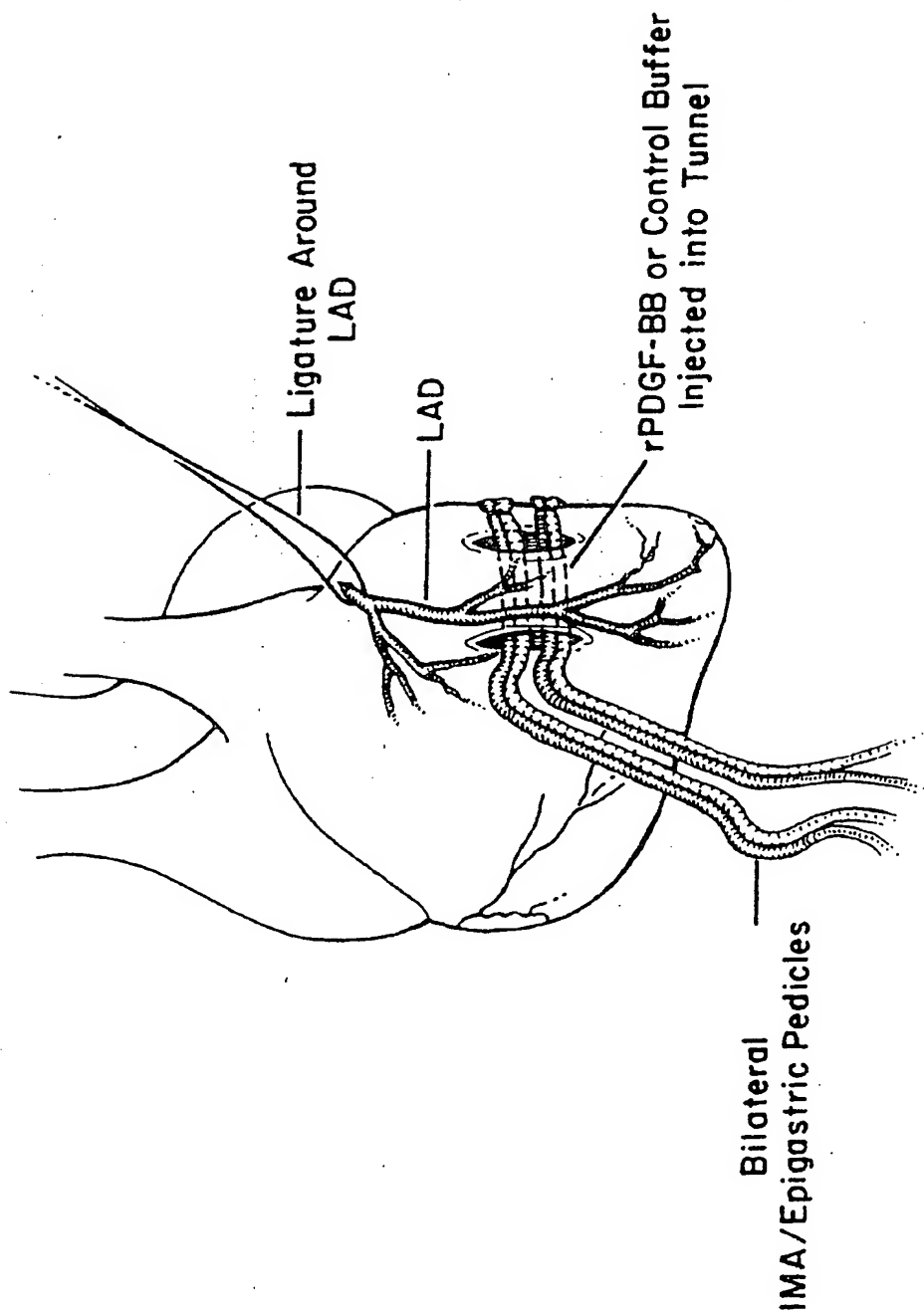


FIG. 8

11 / 15

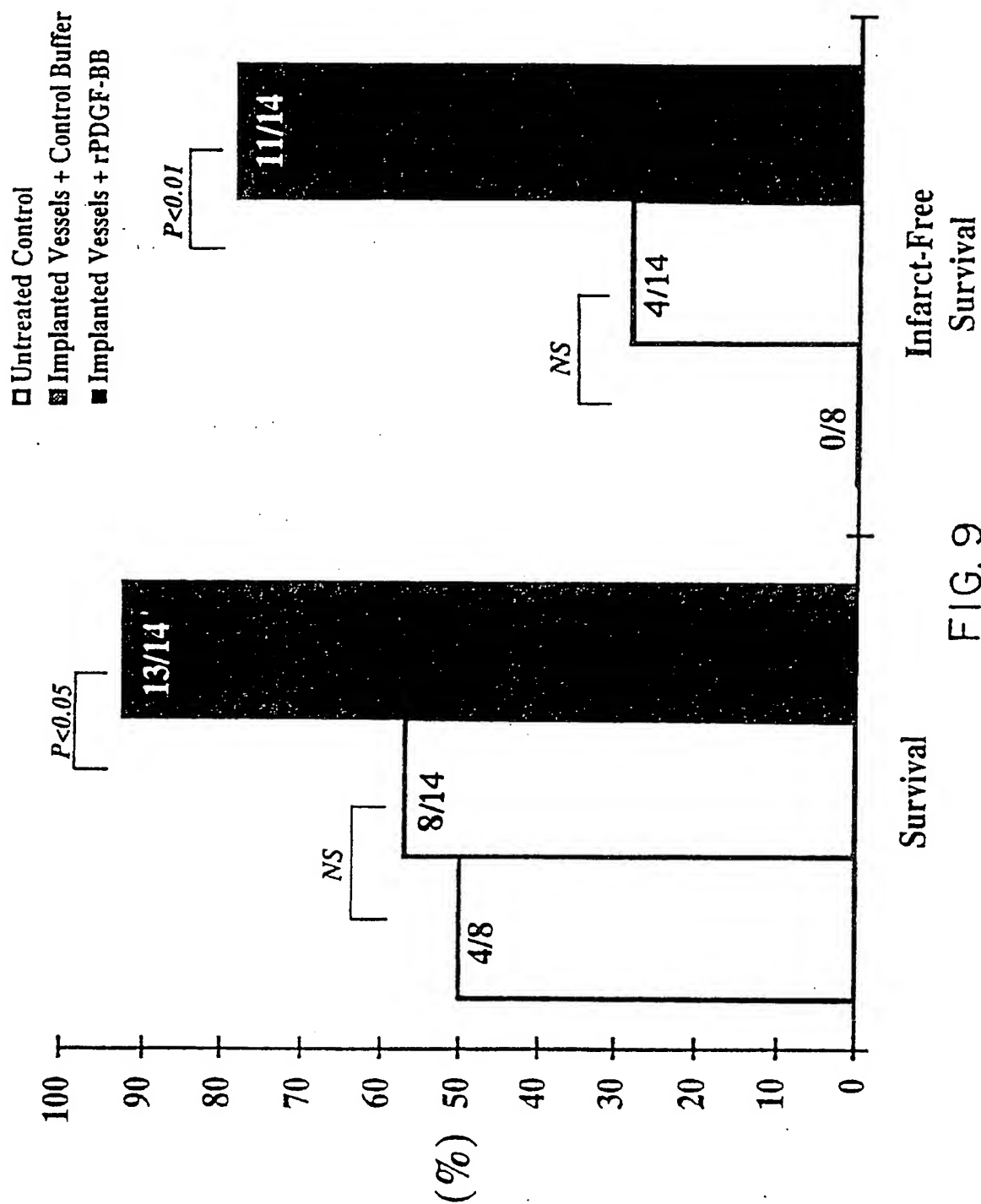
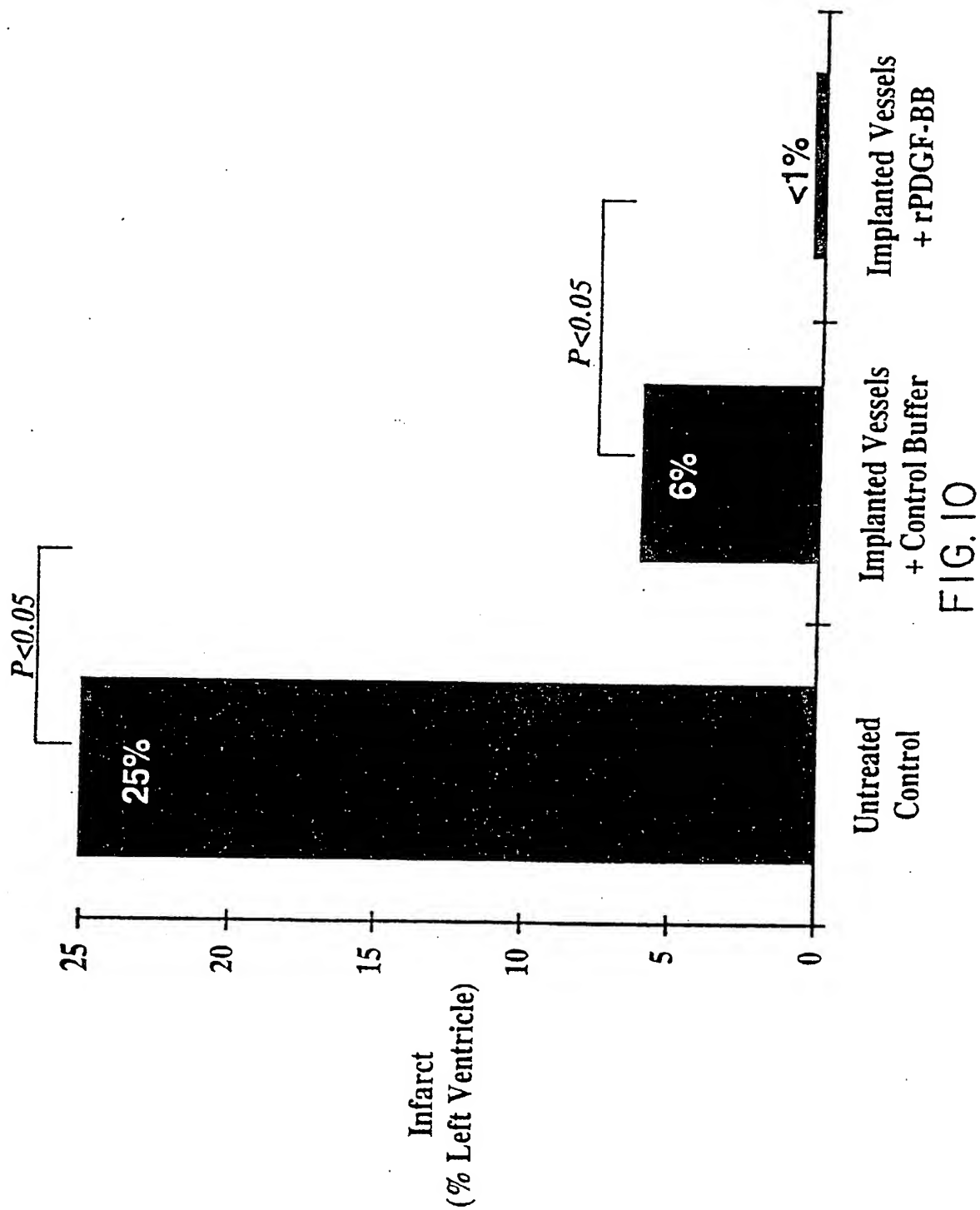


FIG. 9





Untreated  
Control

Implanted Vessels  
+ Control Buffer

Implanted Vessels  
+PDGF-BB

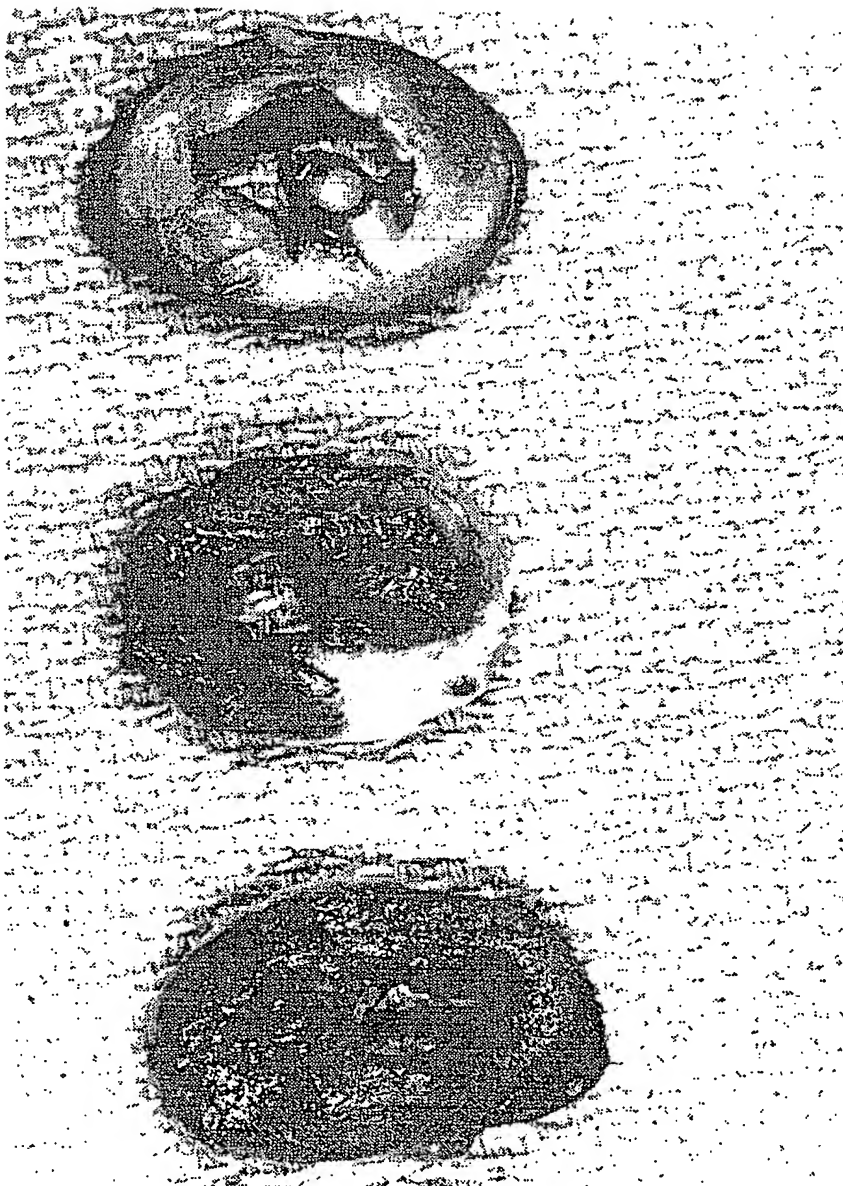


FIG. II

14 / 15

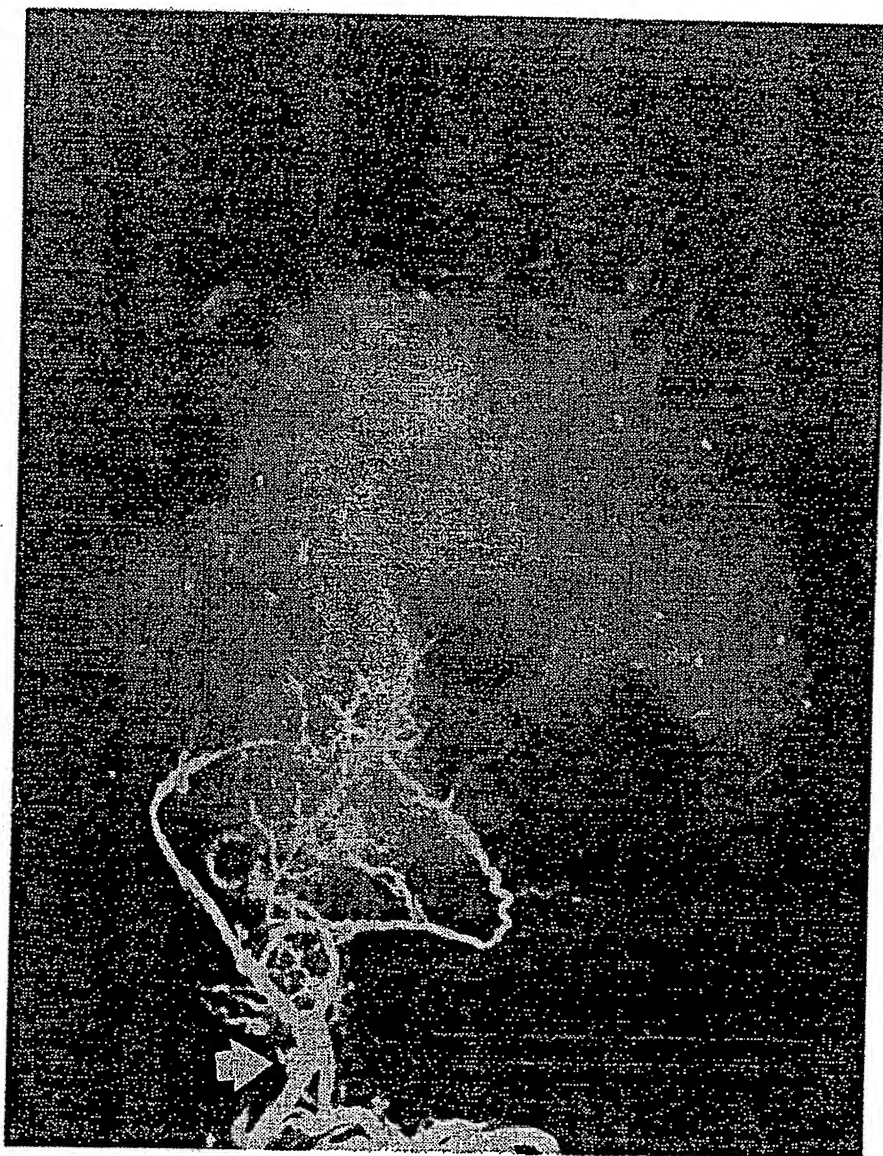


FIG. 12A



FIG. 12B